

## WHAT IS CLAIMED IS:

1. A load balancing method in a system comprising a plurality of computers for processing transaction processing requests originating from a plurality of terminals, comprising steps of:

5 a) estimating load states of respective ones of the computers;

b) determining estimated elongation rates of processing time for respective ones of the computers based on the estimated load states;

10 c) calculating load indexes of respective ones of the computers from the estimated elongation rates; and

d) determining load distribution among the computers based on the load indexes.

2. The load balancing method according to claim 1, 15 wherein the step (a) comprises the steps of:

a.1) measuring load data of each of the computers at constant intervals; and

a.2) estimating a load state of each of the computers based on the load data.

3. The load balancing method according to claim 2,  
wherein,

in the step (a), a current number of in-process  
transactions in each of the computers is measured in response  
5 to start and termination of transaction processing at each of  
the computers, and

in the step (b), an estimated elongation rate of  
processing time for each of the computers is determined based  
on the estimated current load state and the current number of  
10 in-process transactions in the computer.

4. The load balancing method according to claim 1,  
wherein the steps (a) through (d) are sequentially performed  
when a transaction processing request has been received from  
a terminal,

15 wherein, in the step (d), one of the computers is  
selected based on the load indexes as an execution computer  
processing the transaction processing request.

5. The load balancing method according to claim 2,  
wherein, in the step (a.1), a number of in-process  
20 transactions and a number of job processing processes staying  
in a CPU system of the computer are measured as the load data  
measured at constant intervals.

6. The load balancing method according to claim 2, wherein, in the step (a.1), a number of in-process transactions and a CPU utilization of the computer are measured as the load data measured at constant intervals.

5 7. The load balancing method according to claim 1, wherein the step (a) comprises the steps of:

a.1) measuring load data of each of the computers at constant intervals to produce a sequence of load data; and  
a.2) estimating a current load state of each of the  
10 computers based on the sequence of load data.

8. The load balancing method according to claim 3, wherein the step (a) comprises the steps of:

a.1) measuring load data of each of the computers at constant intervals to produce a sequence of load data; and  
a.2) estimating a current load state of each of the  
15 computers based on the sequence of load data.

9. The load balancing method according to claim 3, wherein the step (b) comprises the steps of:

b.1) correcting the estimated load states using the  
20 current numbers of in-process transactions for respective  
ones of the computers to produce corrected estimated load  
states; and

b.2) determining estimated elongation rates of processing time for respective ones of the computers based on the corrected estimated current load states.

10. The load balancing method according to claim 8,  
5 wherein the step (b) comprises the steps of:

b.1) correcting the estimated load states using the current numbers of in-process transactions for respective ones of the computers to produce corrected estimated load states; and

10 b.2) determining estimated elongation rates of processing time for respective ones of the computers based on the corrected estimated current load states.

11. The load balancing method according to claim 1,  
wherein, in the step (c), an estimated elongation rate is  
15 used as a load index of each of the computers.

12. The load balancing method according to claim 1,  
wherein, in the step (c), an estimated elongation rate is one  
of a before-scheduling estimated elongation rate and an  
after-scheduling estimated elongation rate.

20 wherein the before-scheduling estimated elongation rate is an estimated elongation rate calculated from a corresponding estimated load state before the transaction

processing request is allocated to respective ones of the computers, and

the after-scheduling estimated elongation rate is an estimated elongation rate calculated from a corresponding 5 estimated load state after the transaction processing request is allocated to respective ones of the computers.

13. The load balancing method according to claim 12, wherein the step (c) comprises the steps of:

10 c.1) multiplying the estimated elongation rate of each of the computers by a current number of in-process transactions in the computer to produce a total estimated elongation rate of the computer; and  
c.2) determining the total estimated elongation rate as a load index of the computer.

15 14. The load balancing method according to claim 12, wherein the step (c) comprises the steps of:

20 c.1) multiplying the estimated elongation rate of each of the computers by a current number of in-process transactions in the computer to produce a total estimated elongation rate of the computer;  
c.2) calculating a total estimated elongation rate difference between an after-scheduling total estimated

elongation rate and a before-scheduling total estimated elongation rate of each of the computers; and

c.3) determining the total estimated elongation rate difference as a load index of the computer.

5 15. A load balancing system comprising:

a plurality of terminals, each of which originates a transaction processing request;

a plurality of computers, each of which processes a plurality of transaction processing requests originating from a plurality of terminals in parallel;

a load estimator for estimating load states of respective ones of the computers;

a load data memory for storing the estimated load states; and

15 an execution computer selector for selecting one of the computers as an execution computer to be put in charge of processing a transaction processing request based on load indexes of respective ones of the computers, wherein the load indexes are calculated from estimated elongation rates of 20 processing time for respective ones of the computers, wherein the estimated elongation rates are determined based on the estimated load states.

16. The load balancing system according to claim 15, wherein the load estimator measures load data of each of the computers at constant intervals and estimates a load state of each of the computers based on the load data.

5 17. The load balancing system according to claim 16, wherein the load estimator measures a current number of in-process transactions in each of the computers in response to start and termination of transaction processing at each of the computers; and

10 the execution computer selector determines an estimated elongation rate of processing time for each of the computers based on the estimated current load state and the current number of in-process transactions in the computer.

15 18. The load balancing system according to claim 15, wherein the execution computer selector is started up when a transaction processing request has been received from a terminal and then selects one of the computers based on the load indexes as an execution computer processing the transaction processing request.

20 19. The load balancing system according to claim 16, wherein the load estimator measures a number of in-process transactions and a number of job processing processes staying

in a CPU system of the computer as the load data measured at constant intervals.

20. The load balancing system according to claim 16, wherein the load estimator measures a number of in-process 5 transactions and a CPU utilization of the computer as the load data measured at constant intervals.

21. The load balancing system according to claim 15, wherein the load estimator measures load data of each of the computers at constant intervals to produce a sequence of load 10 data, and estimates a current load state of each of the computers based on the sequence of load data.

22. The load balancing system according to claim 17, wherein the load estimator measures load data of each of the computers at constant intervals to produce a sequence of load 15 data, and estimates a current load state of each of the computers based on the sequence of load data.

23. The load balancing system according to claim 17, wherein the execution computer selector corrects the estimated load states using the current numbers of in-process 20 transactions for respective ones of the computers to produce corrected estimated load states, and determines estimated

elongation rates of processing time for respective ones of the computers based on the corrected estimated current load states.

24. The load balancing system according to claim 22,  
5 wherein the execution computer selector corrects the estimated load states using the current numbers of in-process transactions for respective ones of the computers to produce corrected estimated load states, and determines estimated elongation rates of processing time for respective ones of the computers based on the corrected estimated current load states.  
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25. The load balancing system according to claim 15, wherein the execution computer selector uses an estimated elongation rate as a load index of each of the computers.

15 26. The load balancing system according to claim 15, wherein an estimated elongation rate is one of a before-scheduling estimated elongation rate and an after-scheduling estimated elongation rate,

20 wherein the before-scheduling estimated elongation rate is an estimated elongation rate calculated from a corresponding estimated load state before the transaction

processing request is allocated to respective ones of the computers, and

the after-scheduling estimated elongation rate is an estimated elongation rate calculated from a corresponding 5 estimated load state after the transaction processing request is allocated to respective ones of the computers.

27. The load balancing system according to claim 26, wherein the execution computer selector multiplies the estimated elongation rate of each of the computers by a 10 current number of in-process transactions in the computer to produce a total estimated elongation rate of the computer, and determines the total estimated elongation rate as a load index of the computer.

28. The load balancing system according to claim 26, 15 wherein the execution computer selector multiplies the estimated elongation rate of each of the computers by a current number of in-process transactions in the computer to produce a total estimated elongation rate of the computer, calculates a total estimated elongation rate difference 20 between an after-scheduling total estimated elongation rate and a before-scheduling total estimated elongation rate of each of the computers, and determines the total estimated elongation rate difference as a load index of the computer.

29. The load balancing system according to claim 15, further comprising:

5 a control node connected between the terminals and the computers, wherein the control node includes the load estimator, the load data memory, and the execution computer selector.

30. The load balancing system according to claim 15, further comprising:

10 a switching device connecting the computers to each other,  
15 wherein each of the computers includes the load estimator, the load data memory, and the execution computer selector, wherein an estimated load state estimated at a computer is transferred to all other computers through the switching device so that the load state memory of each of the computers stores same load state data.

31. The load balancing system according to claim 30, wherein the execution computer selector selects a computer to which the execution computer selector belongs when the load index of the computer is smaller than a value obtained by multiplying a minimum load index among the computers by a predetermined factor greater than 1.

32. The load balancing system according to claim 15, further comprising:

a switching device connecting the computers to each other, the switching device including the load data memory  
5 which is shared among the computers,

wherein each of the computers includes the load estimator and the execution computer selector, wherein an estimated load state estimated at a computer is transferred to the switching device to be stored in the load state memory.

10 33. The load balancing system according to claim 15, further comprising:

an interim control node connected between the terminals and the computers, wherein the interim control node includes an interim execution computer selector for selecting one of the computers according to a predetermined rule; and

a switching device connecting the computers to each other,

wherein each of the computers includes the load estimator, the load data memory, and the execution computer selector, wherein an estimated load state estimated at a computer is transferred to all other computers through the switching device so that the load state memory of each of the computers stores same load state data, and

wherein the execution computer selector selects a computer to which the execution computer selector belongs when the load index of the computer is smaller than a value obtained by multiplying a minimum load index among the 5 computers by a predetermined factor greater than 1.

34. The load balancing system according to claim 15, further comprising:

10 a switching device connecting the computers to each other, the switching device including the load data memory which is shared among the computers,

15 wherein each of the computers includes the load estimator and the execution computer selector, wherein an estimated load state estimated at a computer is transferred to the switching device to be stored in the load state memory, and

20 wherein the execution computer selector selects a computer to which the execution computer selector belongs when the load index of the computer is smaller than a value obtained by multiplying a minimum load index among the computers by a predetermined factor greater than 1.

35. The load balancing method according to claim 1, wherein the estimated elongation rate E is a ratio of a processing time required for a job processing process to a

net processing time, wherein the estimated elongation rate E is obtained by the following equation:

$$\begin{aligned} E &= X/(X - P \cdot P), \text{ when } P < N, \\ &= N + 1.0, \quad \text{when } P \geq N, \end{aligned}$$

5 where  $X = N \cdot (P + 1)$ , N is the number of in-process transactions in a ~~CPU of the~~ computer and P is the number of transaction processes in a CPU system of the computer.

36. The load balancing method according to claim 1, wherein the estimated elongation rate E is obtained by the 10 following equation:

$$E = N(1-R)/(N(1-R)-R \cdot R)$$

where N is a number of in-process transactions in a CPU of the computer and R is a CPU utilization.

37. A load balancing method in a system comprising a 15 plurality of computers for processing transaction processing requests originating from a plurality of terminals, comprising steps of:

a) estimating elongation rates of processing time for respective ones of the computers, wherein an elongation 20 rate is a ratio of a processing time required for processing a transaction to a net processing time which is a sum of CPU time and an input/output time for processing the transaction;

b) calculating load indexes of respective ones of the computers based on the estimated elongation rates; and  
c) selecting a destination computer from the computers based on the load indexes, wherein the destination computer having a minimum one among the load indexes.

38. A load balancing method in a system comprising a load balancing device for distributing transaction processing requests originating from a plurality of terminals to a plurality of execution computers, comprising steps of:

at the load balancing device,  
a) receiving load data from each of the execution computers at regular intervals, the load data including a number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the execution computer;  
b) estimating load states of respective ones of the computers based on load data received from the execution computers;  
c) determining estimated elongation rates of processing time for respective ones of the computers based on the estimated load states;  
d) calculating load indexes of respective ones of the computers from the estimated elongation rates; and

e) determining load distribution among the computers based on the load indexes.

39. A load balancing method in a system comprising a plurality of computers and a plurality of terminals, wherein each of the computers processes a transaction processing requests originating from a terminal, comprising steps of:

at each of the computers,

a) estimating a load state of the computer based on load data measured at regular intervals including a number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the computer;

b) storing the load state of the computer and a load state received from another computer in a load state memory;

c) transferring the load state of the computer to all other computers;

d) when receiving a transaction processing request from a terminal, determining estimated elongation rates of processing time for respective ones of the computers based on the load states stored in the load state memory;

e) calculating load indexes of respective ones of the computers from the estimated elongation rates;

f) determining based on the load indexes whether the transaction processing request should be processed by the computer or transferred to another computer;

5 g) when it is determined that the transaction processing request should be transferred to another computer, determining a destination computer among the computers based on the load indexes to transfer it to the destination computer; and

10 h) when it is determined that the transaction processing request should be processed by the computer, processing the transaction processing request.

40. A load balancing method in a system comprising an interim load balancing device connecting a plurality of terminals and a plurality of execution computers, comprising steps of:

at the interim load balancing device,

a) setting a predetermined distribution scheme;

20 b) when receiving a transaction processing request from a terminal, selecting an interim destination execution computer from the execution computers according to the predetermined distribution scheme;

c) sending the transaction processing request to the interim destination execution computer;

at each of the execution computers,

d) estimating a load state of the execution computer based on load data measured at regular intervals including a number of in-process transactions and one of a CPU utilization and a number of job processing processes

5 staying in a CPU system of the execution computer;

e) storing the load state of the execution computer and a load state received from another execution computer in a load state memory;

f) transferring the load state of the execution computer to all other execution computers;

10 g) when receiving the transaction processing request from the interim load balancing device, determining estimated elongation rates of processing time for respective ones of the execution computers based on the load states stored in the load state memory;

15 h) calculating load indexes of respective ones of the execution computers from the estimated elongation rates;

i) determining based on the load indexes whether the transaction processing request should be processed by the 20 execution computer or transferred to another execution computer;

j) when it is determined that the transaction processing request should be transferred to another execution computer, determining a final destination computer among the

execution computers based on the load indexes to transfer it to the final destination computer; and

5 k) when it is determined that the transaction processing request should be processed by the execution computer, processing the transaction processing request.

10 41. A load balancing method according to claim 40, wherein, in the step (a), the predetermined distribution scheme is a static distribution method such that the terminals are previously divided into a plurality of groups and an interim destination execution computer is determined depending on which one of the groups a request originating terminal belongs to.

15 42. A load balancing method according to claim 40, wherein, in the step (a), the predetermined distribution scheme is a static round distribution method such that the execution computers are sequentially and repeatedly selected as an interim destination execution computer in the arrival order.

20 43. A load balancing method according to claim 40, wherein the step (a) comprises the steps of:

a.1) receiving load data from each of the execution computers at regular intervals, the load data including a

number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the execution computer;

a.2) determining the predetermined distribution scheme based on the load data so that a transaction processing load is balanced among the execution computers.

44. A recording medium storing a computer program for instructing a computer of a load balancing device to distribute transaction processing requests originating from a plurality of terminals to a plurality of execution computers, the computer program comprising steps of:

a) receiving load data from each of the execution computers at regular intervals, the load data including a number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the execution computer;

b) estimating load states of respective ones of the computers based on load data received from the execution computers;

c) determining estimated elongation rates of processing time for respective ones of the computers based on the estimated load states;

d) calculating load indexes of respective ones of the computers from the estimated elongation rates; and

e) determining load distribution among the computers based on the load indexes.

45. A recording medium storing a computer program for instructing a computer to balance a transaction processing 5 load among a plurality of computers, the computer program comprising steps of:

a) estimating a load state of the computer based on load data measured at regular intervals including a number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the computer;

b) storing the load state of the computer and a load state received from another computer in a load state memory;

c) transferring the load state of the computer to all other computers;

d) when receiving a transaction processing request from a terminal, determining estimated elongation rates of processing time for respective ones of the computers based on the load states stored in the load state memory;

e) calculating load indexes of respective ones of the computers from the estimated elongation rates;

f) determining based on the load indexes whether the transaction processing request should be processed by the computer or transferred to another computer;

5 g) when it is determined that the transaction processing request should be transferred to another computer, determining a destination computer among the computers based on the load indexes to transfer it to the destination computer; and

10 h) when it is determined that the transaction processing request should be processed by the computer, processing the transaction processing request.

46. A recording medium storing:

a first computer program for instructing a first computer of an interim load balancing device to distribute transaction processing requests originating from a plurality of terminals to a plurality of execution computers; and

15 a second computer program for instructing each of the execution computers to balance a transaction processing load among the execution computers,

20 wherein the first computer program comprises the steps of:

a) setting a predetermined distribution scheme;

b) when receiving a transaction processing request from a terminal, determining an interim execution computer according to the predetermined distribution scheme; and

5 c) sending the transaction processing request to the interim execution computer,

wherein the second computer program comprises the steps of:

10 d) estimating a load state of the execution computer based on load data measured at regular intervals including a number of in-process transactions and one of a CPU utilization and a number of job processing processes staying in a CPU system of the execution computer;

15 e) storing the load state of the execution computer and a load state received from another execution computer in a load state memory;

f) transferring the load state of the execution computer to all other execution computers;

20 g) when receiving the transaction processing request from the interim load balancing device, determining estimated elongation rates of processing time for respective ones of the execution computers based on the load states stored in the load state memory;

h) calculating load indexes of respective ones of the execution computers from the estimated elongation rates;

i) determining based on the load indexes whether the transaction processing request should be processed by the execution computer or transferred to another execution computer;

5                   j) when it is determined that the transaction processing request should be transferred to another execution computer, determining a final destination computer among the execution computers based on the load indexes to transfer it to the final destination computer; and

10                  k) when it is determined that the transaction processing request should be processed by the execution computer, processing the transaction processing request.